



**APPLICATION FOR APPROVAL TO USE  
HUMANS AS SUBJECTS IN EMPIRICAL STUDY**

**Instructions:**

Given that research plans often include a series of similar experiments, there is no need to request separate approval from the ethics committee for each experiment, but rather for the entire research project.

The following forms and documents should be submitted to the ethics committee by the primary researcher:

1. This application, filled out in its entirety
2. The research protocol: A full description of the project stages. If the project contains a series of experiments, describe the variations, also include description of type and number of subjects and subjects recruitment method
3. Copies of questionnaires and/or description of interview questions.
4. The consent form that the subjects will be asked to sign
5. Instructions and/or explanations that subjects will receive before, during, or after the experiment.



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**I. General**

Name of Research Project: \_\_\_\_\_

Principal Investigator/s (or academic supervisor/s):

name: \_\_\_\_\_

name: \_\_\_\_\_

telephone: \_\_\_\_\_

telephone: \_\_\_\_\_

email: \_\_\_\_\_

email: \_\_\_\_\_

Name(s) of those conducting the research (if different from above):

name: \_\_\_\_\_

name: \_\_\_\_\_

telephone: \_\_\_\_\_

telephone: \_\_\_\_\_

email: \_\_\_\_\_

email: \_\_\_\_\_

**II. Consent to Participate**

1. Will the subjects be asked to sign a consent form?  Yes/  No

If you answered no, please explain here: \_\_\_\_\_

2. If a subject cannot legally consent (minors, mentally incapacitated, etc.):

a. Will the subject's legal guardian be asked to sign a consent form?  Yes/  No

If you answered no, please explain here: \_\_\_\_\_

b. Will the subject be asked to give oral consent?  Yes/  No

c. Are the instructions appropriate to the subjects' level of understanding?  Yes/  No

Comments: \_\_\_\_\_



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**III. Discomfort:**

3. Will the participants be subjected to physical discomfort?  Yes/  No

4. Will the participants be subjected to psychological discomfort?:  Yes/  No

If you answered yes to question 3 or 4 above, add here a detailed explanation of the circumstances

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**IV. Deception**

5. Does the research involve deceiving the subjects?  Yes/  No

6. Is the decision on the part of the subject to participate in the study based on deception? (For example, if they are informed only after the event of their participation.)

Yes/  No

If you answered yes to question 5 or 6 above, add here a detailed explanation why deception is necessary. \_\_\_\_\_

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**V. Debriefing of Subjects**

If the study involves any discomfort or deception, it is necessary to personally debrief the subjects after the experiment in order to explain the reasons for the discomfort and/or deception. If the study does not involve any discomfort or deception, the subject should be provided, upon completion of the experiment, with a brief written description of the study's objectives.

7. Will the subjects be debriefed orally?  Yes/  No

8. Will the subjects be debriefed in writing?  Yes/  No

If you answered no to both 7 **and** 8 above, explain below:

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**VI. Compensation for Participation**

9. Will the subjects receive compensation for participation?  Yes/  No

If the compensation is monetary, give details: \_\_\_\_\_

Other type of compensation detail here: \_\_\_\_\_

If you answered no to question 9, explain the basis for participation:

\_\_\_\_\_

**VII. Privacy:**

10. Will audio and/or visual recordings be made of the subjects?  Yes/  No

11. If yes, are they informed of this fact in the consent form?  Yes/  No

12. Will the data collected contain identifying details about the subjects?  Yes/  No

13. If the data contains identifying details, what steps will you take to ensure the confidentiality of the information? How will the data be stored?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**VIII. Withdrawal from the Study:**

14.

15. Will subjects be informed that they may withdraw from the study at any time?  Yes/  No

16. Will the subjects incur any loss, monetary or other, if they withdraw from the study before its completion?  Yes/  No

If you answered yes to question 15, specify the loss that will be incurred and why:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



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Signatories:

Name: \_\_\_\_\_ Position: \_\_\_\_\_      Name: \_\_\_\_\_ Position: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_      Signature: \_\_\_\_\_ Date: \_\_\_\_\_



This section is to be filled out by a member of the Human Subjects Research Committee only

**Decision of the Committee:**

Approval for Research Number: \_\_\_\_\_       Granted /  Denied

Name of Research Project: \_\_\_\_\_

Principal Investigator/s (or academic supervisor/s): \_\_\_\_\_

Comments to the researcher in the event that application has been denied:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Signature of committee:

Name: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_